

PTO/SB/08 (07/05) Approved for use through 07/31/2006. OMB 0651-0031
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				Con	nplete if Known
Substitute f	Substitute for form 1449/PTO		Application Number	10/753,205	
INFORMATION DISCLOSURE		Filing Date	January 6, 2004		
STATE	MENT B	Y APP	LICANT	First Named Inventor	Daniel John DiLorenzo
(Use a	s many shee	as nec	essary)	Art Unit	3762
			Examiner Name	Scott M. Getzow	
Sheet	1	Of	38	Attorney Docket Number	31685-704.503

Examiner Cite Initials* No.1		Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Wher Relevant Passages or Relevar Figures Appear
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(Use as	many shee	ts as nece	essary)	Art Unit	3762	
	·		Examiner Name	Scott M. Getzow		
Sheet	2	Of	38	Attorney Docket Number	31685-704.503	

-		U.S. P.	ATENT DOC	UMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (If known)	Publication Date - MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	·		Examiner Name	Scott M. Getzow		
Sheet	3	Of	38	Attorney Docket Number	31685-704.503	

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Sheet	4	Of	38	Attorney Docket Number	31685-704.503	

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V	150.	US 5,031,618	07-16-1991	Mullett	- · · · · · · · · · · · · · · · · · · ·

Examiner Date Signature Considered

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Substitute f	or form 144	9/PTO		Application Number	10/753,205				
INFORM	<b>AATION</b>	DISC	LOSURE	Filing Date January 6, 2004					
STATE	MENT B	Y APP	LICANT	First Named Inventor	Daniel John DiLorenzo				
(Use a	s many shee	is as nec	essary)	Art Unit	3762				
•				Examiner Name	Scott M. Getzow				
Sheet	7	Of	38	Attorney Docket Number	31685-704.503				

		. U.S. P.	ATENT DOC	UMENTS	<del></del>
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<u>s6</u>	151.	US 5,070,873	12-10-1991	Graupe et al.	
	152.	US 5,082,861	01-21-1992	Sofia .	
	153	US 5,097,835	03-24-1992	Putz	
٠	154.	US 5,154,172	10-13-1992	Тетгу	
	155.	US 5,167,229	12-01-1992	Peckham et al.	
•	156.	US 5,179,950	01-19-1993	Stanislaw	
	157.	US 5,181,520	01-26-1993	Wertheim et al.	
	158.	US 5,186,170	02-16-1993	Varichio	
T	159.	US 5,188,104	02-23-1993	Wernicke	
T	160.	US 5,190,029	03-02-1993	Byron et al.	<del></del>
1.	161.	US 5,205,285	04-27-1993	Baker, Jr.	
	162.	US 5,215,086	06-01-1993	Terry, Jr. et al.	······································
	163.	US 5,215,088	06-01-1993	Normann	•
	164.	US 5,215,089	06-01-1993	Baker, Jr.	
	165.	US 5,222,494	06-29-1993	Baker, Jr.	
	166.	US 5,222,503	06-29-1993	lves	· · · · · · · · · · · · · · · · · · ·
	167.	US 5,231,988	08-03-1993	Wernicke et al.	•
7	168.	US 5,235,980	08-17-1993	Varichio et al.	
	169.	US 5,237,991 ·	08-24-1993	Baker, Jr.	
	170.	US 5,251,634	10-12-1993	Weinberg	
	171.	US 5,263,480	11-23-1992	Wernicke et al.	
	172.	US 5,265,619	11-30-1993	Comby et al.	•
	173.	US 5,269,302	12-14-1993	Swartz et al.	
	174.	US 5,269,303	12-14-1993	Wernicke et al.	
V	175.	US 5,292,772	03-08-1994	Sofia	· · · · · · · · · · · · · · · · · · ·

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, between copy of this form with next communication to applicam. 'Applicam's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.nspro.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Sandard ST. 3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor mass precede the serial number of the patent document. 'Kind of documents by the appropriate symbols as indicated on the document under WIPO Sandard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is smacked.

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Substitute for form 1449/PTO		Application Number	10/753,205		
INFORM	ATION	DISCI	LOSURE	Filing Date	January 6, 2004
STATE	MENT BY	Y APP	LICANT	First Named Inventor	Daniel John DiLorenzo
(Use a:	s many shee	is as nec	essary)	Art Unit	3762
·				Examiner Name	Scott M. Getzow
Sheet	8	Of	38	Attorney Docket Number	31685-704.503

Examiner Cit Initials* No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevant	
	ļ. <u>.</u>	Number-Kind Code <sup>2</sup> (if known)		replaced of Case Document	Figures Appear	
		US 5,293,879	03-15-1994	Vonk ·		
	177.	US 5,299,569	04-05-1994	Wernicke et al.		
	178.	US 5,300,094	04-05-1994	Kallok et al.		
1	179.	US 5,304,206	04-19-1994	Baker, Jr. et al.		
	180.	US 5,311,876	05-17-1994	Olsen et al.		
	181.	US 5,314,458	05-24-1994	Najafi et al.		
	182.	US 5,330,515	07-19-1994	Rutecki et al.		
	183.	US 5,335,657	08-09-1994	Terry et al.		
	184.	US 5,342,408	08-30-1994	deColriolis et al.		
	185.	US 5,342,409	08-30-1994	Mullett		
	186.	US 5,343,064	08-30-1994	Spangler et al.	•	
	187.	US 5,349,962	09-27-1994	Lockard et al.		
<u> </u>	188.	US 5,351,394	10-04-1994	Weinberg	· · · · · · · · · · · · · · · · · · ·	
	189.	US 5,361,760	11-08-1994	Normann	•	
	190.	US 5,365,939	11-22-1994	Ochs		
	191.	US 5,376,359 .	12-27-1994	Johnson		
	192.	US 5,392,788	02-28-1995	Hudspeth		
	193.	US 5,405,365	04-11-1995	Hoegnelid et al.		
	194.	US 5,411,540	05-02-1995	Edell et al.		
	195.	US 5,458,117	10-17-1995	Chamoun		
	196.	US 5,474,547	12-12-1995	Aebischer et al.	-	
	197.	US 5,476,494	12-19-1995	Edeil et al.		
	198.	US 5,486,999	01-23-1996	Mebane	•	
	199.	US 5,513,649	05-07-1996	Gevins		
J	200.	US 5,531,778	07-02-1996	Maschino et al.	<del></del>	

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Substitute f	or form 144	9/РТО	٠.	Application Number 10/753,205					
INFORM	NFORMATION DISCLOSURE			Filing Date January 6, 2004					
	MENT B			First Named Inventor	Daniel John DiLorenzo				
(Use a	s many shee	ts as nece	ssary)	Art Unit	3762				
				Examiner Name	Scott M. Getzow				
Sheet	9	Of	38	Attorney Docket Number	31685-704.503				

	•	U.S. P	ATENT DOC	UMENTS	
Examiner Cite Initials* No.		Document Number Publication Da MM-DD-YYY Number-Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
58	201.	US 5,540,730	07-30-1994	Тепту	
	202.	US 5,540,734	07-30-1996	Zabara	
	203.	US 5,549,656	. 08-27-1996	Reiss	,
	204.	US 5,555,191	09-10-1996	Hripcsak	
	205.	US 5,571,150	11-05-1996	Wernicke	
	206.	US 5,575,813	11-19-1996	Edell et al.	
	207.	US 5,611,350	03-18-1997	John	
	208.	US 5,626,145	05-06-1997	Clapp et al.	
	209.	US 5,626,627	05-06-1997	Krystal, et al.	
	210.	US 5,638,826	06-17-1997	Wolpaw	
	211.	US 5,649,068	07-15-1997	Boser et al.	
	212.	US 5,683,422	11-04-1997	Rise	
	213.	US 5,690,681	11-25-1997	Geddes et al:	····
1	214.	US 5,697,369	12-16-1997	Long	
	215.	US 5,700,282	12-23-1997	Zabara	
	216.	US 5,707,400	01-13-1998	Terry et al.	· · · · · · · · · · · · · · · · · · ·
	217.	US 5,711,316	01-27-1998	Elsberry et al.	
	218.	US 5,713,923	02-03-1998	Ward et al.	- · · · · · · · · · · · · · · · · · · ·
	219.	US 5,715,821	02-10-1998	Faupel	
	220.	US 5,716,377	02-10-1998	Rise et al.	
	221.	US 5,720,294	02-24-1998	Skinner	
	222.	US 5,735,814	04-07-1998	Elsberry et al.	
	223.	US 5,743,860	04-28-1998	Hively et al.	
.].	224.	US 5,752,979	05-19-1998	Benabid	· · · · · · · · · · · · · · · · · · ·
	225.	US 5,769,778	06-23-1998	Abrams et al.	

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Substitute f	or form 144	9/PTO		Application Number	10/753,205		
INFORM	<b>IATION</b>	DISCI	LOSURE	Filing Date January 6, 2004			
	MENT BY			First Named Inventor	Daniel John DiLorenzo		
(Use a	s many shee	ts as nec	essary)	Art Unit	3762		
				Examiner Name	Scott M. Getzow		
Sheet	10	Of	38	Attorney Docket Number	31685-704.503		

Examiner Cite No. 1  S 6 226.		Document Number  Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY Amilicant of Cited		Pages, Cohurans, Lines, Wher Relevant Passages or Relevan Figures Appear	
		US 5,776,434	07-07-1998	Purewal et al.		
	227.	US 5,782,798	07-21-1998	Rise		
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	230.	US 5,800,474	09-01-1998	Bernabid et al.		
	231.	US 5,813,993	09-29-1998	Kaplan		
	232.	US 5,814,014	09-29-1998	Elsberry et al.		
	233.	US 5,815,413	09-29-1998	Hively et al.		
1 -	234.	US 5,816,247	10-06-1998	Maynard	· · · · · · · · · · · · · · · · · · ·	
	235.	US 5,824,021	10-20-1998	Rise		
	236.	US 5,832,932	11-10-1998	Elsberry et al.		
	237.	US 5,833,709 B1	11-10-1998	Rise et al.		
	238.	US 5,857,978	01-12-1999	Hively et al.		
	239.	US 5,899;922	05-04-1999	Loos		
	240.	US 5,916,239	06-29-1999	Geddes et al.		
	241.	US 5,917,429	06-29-1999	Otis Jr. et al.		
•	242.	US 5,928,272 ·	07-27-1999	Adkins		
	243.	US 5,938,689	08-17-1999	Fischell et al.		
7	244.	US 5,941,106	08-24-1999	Barreras et al.		
	245.	US 5,950,632	09-14-1999	Reber et al.		
	246.	US 5,971,594	10-26-1999	Sahai et al.		
	247.	US 5,975,085	11-02-1999	Rise	•	
T	248.	US 5,978,702	11-02-1999	Ward et al.		
T	249.	US 5,978,702	11-02-1999	Ward		
V	250.	US 5,995,868	11-30-1999	Dorfmeister et al.		

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Substitute fo	or form 144	9/РТО		Application Number	10/753,205
INFORMATION DISCLOSURE				Filing Date	January 6, 2004
STATEN				First Named Inventor	Daniel John DiLorenzo
(Use as	s many shee	is as nece	ssary)	Art Unit	3762
			·	Examiner Name	Scott M. Getzow
Sheet	Sheet 11 Of 38		Attorney Docket Number	31685-704.503	

U.S. PATENT DOCUMENTS											
Examiner Cite Initials* No.		Document Number Publication Date Name of Patentee or Applicant of Cited Document Number-Kind Code <sup>2</sup> (if Innown)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear						
_56_	251.	US 6,006,124	12-21-1999	Fischell et. al.							
_1_	252.	·US 6,018,682	01-25-2000	Rise							
$\perp$	253.	US 6,042,548	03-28-2000	Giuffre							
	254.	US 6,042,579	03-28-2000	Elsberry et al.	•						
	255.	US 6,061,593	05-09-2000	Fischell et al.	•						
$\mathcal{T}$	256.	US 6,066,163	05-23-2000	John	•						
	257.	US 6,081,744	06-27-2000	Loos ·							
	258.	US 6,104,956	08-20-2000	Naritoku							
1.	259.	US 6,109,269	08-29-2000	Rise et al.	· .						
1	260.	US 6,117,066	09-12-2000	Abrams et al.	,						
1	261	US 6;128,537	10-03-2000	Rise et al.							
	262.	US 6,128,538	10-03-2000	Fischell et al.							
	263.	US 6,134,474	10-17-2000	Fischell et al.							
,	264.	US 6,161,045	12-12-2000	Fischell et al.							
·-	265.	US 6,167,304	12-26-2000	Loos							
	266.	US 6,171,239	01-09-2001	Humphrey							
	267.	US 6,176,242 B1	01-23-2001	Rise	· · · · · · · · · · · · · · · · · · ·						
	268	US 6,205,359	05-20-2001	Boveja,							
	269.	US 6,221,011	04-24-2001	Bardy ·	•						
T	270.	US 6,227,203 B1	05-08-2001	Rise et al.							
	271.	US 6,230,049	05-08-2001	Fischell et al.	· ·						
	272.	US 6,248,126	06-19-2001	Lesser et al.							
1	273.	US 6,249,703	06-19-2001	Stanton	-						
1/	274.	US 6,263,237 B1	07-17-2001	Rise	<u> </u>						
$\Delta$	275.	US 6,304,775	10-16-2001	lasemidis'et al.	······································						

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INFORMATION DISCLOSURE			LOSURE	Filing Date	January 6, 2004
	STATEMENT BY APPLICANT		First Named Inventor	Daniel John DiLorenzo .	
(Use a	s many shee	ts as nec	essary)	Art Unit	3762
				Examiner Name	Scott M. Getzow
Sheet	12	Of	38	Attorney Docket Number	31685-704.503

		U.S. P.	ATENT DOC	UMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
S6	276.	US 6,309,406	10-30-2001	Jones et al.	
	277.	US 6,328,699	12-11-2001	Eigler	
	278.	US 6,337,997 B1	01-08-2002	Rise	
	279.	US 6,339,725	01-15-2002	Naritoku .	
	280.	US 6,341,236	01-22-2002	Osorio et al.	
	281.	US 6,343,226 .	01-29-2002	Sunde et al.	•
	282.	US 6,353,754 B1	03-05-2002	Fischell et al.	
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	284.	US 6,356,784 B1	12-12-2002	Lozano et al.	
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	286.	US 6,358,203	03-19-2002	Bardy	
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l	288.	US 6,366,813	04-02-2002	DiLorenzo	
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	291.	US 6,386,882	05-14-2002	Linberg	
	292.	US 6,402,678 B1	06-11-2002	Fischell et al.	
	293.	US 6,427,086 B1	11-30-2002	Fischell et al.	
	294.	US 6,434,419	08-13-2002	Gevins et al.	,
	295.	US 6,442,421	08-27-2002	Quyen et al.	•
	296.	US 6,443,891	09-03-2002	Grevious	<del></del>
	297.	US 6,453,198	09-17-2002	Torgerson	-
	298.	US 6,459,936 B2	10-01-2002	Fischell	
	299.	US 6,463,328	10-08-2002	John	
W	300.	US 6,466,822 ·	10-15-2002	Pless	··

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Parent Documents at www.uspro.gov or MPEP 901.04. Enter Office that issued the document. By the two-tener code (WIPO Standard ST .3). For Japanese parent documents, the indication of the year of the reign of the Emperor must precede the serial number of the parent document. Stand of document by the appropriate symbols as indicated on the document ender WIPO Standard ST.16 if possible. \*Applicant is to place a check must have if English language Translation is amached.

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				Complete if Known		
Substitute for form 1449/PTO				Application Number	10/753,205	
INFORMATION DISCLOSURE			OSURE	Filing Date	January 6, 2004	
	MENT BY			First Named Inventor	Daniel John DiLorenzo	
(Use a	s many shee	ts as nec	essary)	· Art Unit	3762	
			•	Examiner Name	Scott M. Getzow	
Sheet	Sheet 13 Of 38		Attorney Docket Number	31685-704.503		

Examiner Cite Initials* No.1		Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
56	301.	US 6,471,645	10-29-2002	Warkentin et al.	. gate repeat
1	302.	US 6,473,639 B1	10-29-2002	Fischell et al.	
	303.	US 6,473,644	10-29-2002	Terry et al.	
	304.	US 6,480,743	11-12-2002	Kirkpatrick	
	305.	US 6,484,132	11-19-2002	Hively et al.	
	306.	US 6,488,617	12-03-2002	Katz	
	307.	US 6,49,6724	12-17-2002	Levendowski et al.	· · · · · · · · · · · · · · · · · · ·
	308.	US 6,507,754	01-14-2003	Quyen et al.	
	309.	US 6,510,340	. 01-21-2003	Jordan	•
	310.	US 6,511,424	01-28-2003	Moore-Ede	
	311.	US 6,529,774	03-04-2003	Greene	
	312.	US 6,534,693	03-18-2003	Fischell et al.	
	313.	US 6,547,746	05-15-2003	Marino	
1	314.	US 6,549,804	04-15-2003	Osorio et al.	
	315.	US 6,553,262	04-22-2003	Lang et al.	
T	316.	US 6,560,486	05-06-2003	Osorio et al.	
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$\Box$	319.	US 6,572,528	06-03-2003	Rohan et al.	
	320.	US 6,587,719	07-01-2003	Barrett et al.	
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V	325.	US 6,594,524	07-15-2003	Esteller et al.	

Examiner Date Signature Considered

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Index the nanerwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a United Management

				Con	nplete if Known
Substitute for form 1449/PTO INFORMATION DISCLOSURE				Application Number	10/753,205
				Filing Date	January 6, 2004
•	MENT BY			First Named Inventor	Daniel John DiLorenzo
(Use a	s many sheet	s as nec	essary)	Art Unit	3762
				Examiner Name	Scott M. Getzow
Sheet	14	Of	38	Attorney Docket Number	31685-704.503

		U.S. P.	ATENT DOC	UMENTS	
Examiner Initials*	Cite. No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
56	326.	US 6,597,953	07-22-2003	Boling	
	327.	US 6,597,954	07-22-2003	Pless et al.	
	328.	US 6,600,956	07-29-2003	Maschino	
	329.	US 6,606,521	08-12-2003	Paspa et al.	•
	330.	US 6,609,025	08-19-2003	Barrett et al.	
	331.	US 6,618,623	09-09-2003	Pless et al.	
	332.	US 6,620,415 .	09-16-2003	Donovan	
•	333.	· US 6,622,036	09-16-2003	Suffin	·
$\neg \tau$	334.	US 6,622,038	09-16-2003	Barrett et al.	
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$\neg \vdash$	337.	US 6,647,296	11-11-2003	Fischell et al.	
	338.	US 6,650,779	11-18-2003	Vachtesvanos et al.	
	339.	US 6,658,287	12-02-2003	Litt et al.	
	340.	US 6,662,035	12-09-2003	Sochor	•
	341.	US 6,665,562	12-16-2003	Gluckman et al.	
	342.	US 6,668,191	12-23-2003	Boveja	•
	343.	US 6,671,555	12-30-2003	Gielen	
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	345.	US 6,678,548	01-13-2004	Echauz et al.	
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7	347.	US 6,687,538	02-03-2004	Hrdlicka et al.	
1	348.	US 6,690,974	02-10-2004	Archer et al.	· · · · · ·
1/	349.	US 6,721,603	07-31-2003	Zabara	
A	350.	US 6,735,467	05-11-2004	Wilson	· · · · · · · · · · · · · · · · · · ·

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<sup>\*</sup>EXAMINER: Inhist if reference considered, whether or not cisation is in conformance with MPEP 609. Draw line through cination if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.aspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). "For Japanete patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document." Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST .16 if possible. "Applicant is to place a check mark here if English language Translation is smarked.

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				Complete if Known			
Substitute for form 1449/PTO INFORMATION DISCLOSURE				Application Number	10/753,205		
				Filing Date	January 6, 2004		
•	MENT BY			First Named Inventor	Daniel John DiLorenzo		
(Use a	s many shee	ts as neci	essary)	Art Unit	3762		
•	Sheet 15 Of 38		Examiner Name	Scott M. Getzow			
Sheet			Attorney Docket Number	31685-704.503			

		U.S. P.	ATENT DOC	UMENTS	
Examiner Cite Initials No.		Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	: Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<u> </u>	351.	US 6,760,626	07-06-2004	Boveja	
	352. :	US 6,768,969	07-27-2004	Nikitin et al.	•
	353.	US 6,778,854	08-17-2004	Puskas	
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	356.	US 6,793,670	09-21-2004	Osorio .	
	357.	US 6,810,285	10-26-2004	Pless et al.	
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	366.	US 6,921,538	07-16-2005	Donovan	
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	368.	US 6,923,784	08-02-2005	Stein	
	36 <del>9</del> .	US 6,931,274	08-16-2005	Williams	
	370.	US 6,934,580	08-23-2005	Osario	
	371.	US 6,937,891	08-30-2005	Leinders et al	
	372.	US 6,944,501	09-13-2005	Pless	
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$\mathcal{J}_{\ell}$	374.	US 6,961,618	11-01-2005	Osorio	
$\mathbf{V}^{-}$	375.	US 6,973,342	12-06-2005	Swanson	

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Substitute f	ubstitute for form 1449/PTO		Application Number	10/753,205		
INFORM	NFORMATION DISCLOSURE			Filing Date	January 6, 2004 .	
	STATEMENT BY APPLICANT		First Named Inventor	Daniel John DiLorenzo		
(Use a	s many shee	ts <sub>.</sub> as nec	essary)	Art Unit	3762	
			Examiner Name	Scott M. Getzow		
Sheet	16	Of	38 -	Attorney Docket Number	31685-704.503	

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78	376. US RE 034015 08-04-1992 Duffy								

	•	FOREIGN	PATENT DO	OCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code' - Number - Kind Code' (If Insum)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
28	377.	CA 2251852 (Abstract)	04-27-1999	NeuroPace, Inc.		
1	378.	CA 2423840 (Abstract)	02-07-2002	NeuroPace, Inc.		
· \	379.	CA 2425004 (Abstract)	08-01-2002	UPenn		
	380.	CA 2425122 (Abstract)	06-27-2002	UPenn		
	381	CA 2428116 (Abstract)	05-10-2002	NeuroPace, Inc.	•	
	. 382.	CA 2428383 (Abstract)	05-16-2002	NeuroPace, Inc.	•	
	383.	CA 2456443 (Abstract)	01-09-2003	NeuroPace, Inc.		
	384.	CA 2491987 (Abstract)	01-22-2004	UT-Battelle, LLC		
	385.	DE 69832022D (Abstract)	12-01-2005	NeuroPace, Inc.		
	386.	EP 0124663A1	11-14-1984	General Foods Corp.		
	387.	EP 0898460 (Abstract)	03-03-1999	University of Kansas		
	388.	EP 0911061B1	10-26-2005	Fischell, Robert E.		<b></b>
	389.	EP 1017313 (Abstract)	07-12-2000	Lockheed Martin Energy Research Corporation		
	390.	EP 1107693 (Abstract)	06-20-2001	Emory University		
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	393.	EP 1292900 (Abstract)	03-19-2003	Flint Hills Scientific, L.L.C.		
	394.	EP 1307260 (Abstract)	05-07-2003	Neuropace, Inc.	l	
	395.	EP 1331967 (Abstract)	08-06-2003	Neuropace, Inc.		
T 17	396.	EP 1333753 (Abstract)	09-01-2004	UPenn		

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					Examiner Name	Scott M. Getzow
Sheet	17	Of	•	38	Attorney Docket	t Number 31685-704.503

xaminer Cite Foreign Patent Docum nitials* No.1 Commy Code? - Number -		Foreign Patent Document Country Code' - Number' - Kind Code' (If Income)	Foreign Patent Document Cosmy Code' - Number' - Kind Code' (If Income)  Publication Date MM-DD-YYYY Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
56	397.	EP 1335668 (Abstract)	08-20-2003	Neuropace, Inc.		Г
_ {	398.	EP 1341580 (Abstract)	09-10-2003	UPenn		$\vdash$
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Ŧ	400.	EP 1525551 (Abstract)	04-27-2005	UT-Battelle, LLC		T
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1	402.	EP 1558128 (Abstract)	08-03-2005	Medtronic Inc.		T
7	403.	EP 1558130 (Abstract)	08-03-2005	Medtronic Inc.		十
T .	404.	EP 1558131 (Abstract)	08-03-2005	Medtronic Inc.		H
	405.	EP 1558132 (Abstract)	08-03-2005	Medtronic Inc.		H
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	408.	EP 1562674 (Abstract)	08-17-2005	Medtronic Inc.		H
	409.	EP 1609414A2	12-28-2005	UPenn		H
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	411	SU 1074484 (in Russian with English abstract)	02-23-1984	Rachkov et al.		T
	412.	WO 00/07494A2	02-17-2000	BioNeuronics Corporation		Г
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	414.	WO 01/41867A1	06-14-2001	Gluokman, Bruce		t
1	415.	WO 01/48676A1	07-05-2001	Medtronic, Inc.		T
	416.	WO 01/49364A2	07-12-2001	Warkentin et al.		T
	417.	WO 01/67288A2	09-13-2001	UT-Battelle, LLC		⇈
	418.	WO 01/75660A1	10-11-2001	Flint Hills Scientific, LLC		$\vdash$
	419.	WO 02/058536A2	08-01-2002	UPenn		$\vdash$
	420.	WO 02/09610 A1	02-07-2002	EPICOR, INC. et al.		$\vdash$
V	421.	WO 02/09811A1	02-07-2002	NeuroPace, Inc.		$\vdash$

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Substitute f	estitute for form 1449/PTO FORMATION DISCLOSURE		Application Number	10/753,205				
INFORM			Filing Date	January 6, 2004				
	MENT BY			First Named Inventor	Daniel John DiLorenzo			
(Use a	s many shee	ts as nec	essary)	Art Unit	3762			
			Examiner Name	Scott M. Getzow				
Sheet	18	Of	. 38	Attorney Docket Number	31685-704.503			

Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>2</sup> (§' barren)	Foreign Patent Document  Country Code' - Number' - Kind Code' (g' bnown)  Publication Date  MM-DD-YYYY  Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
56	422.	WO 02/36003A1	05-10-2002	NeuroPace, Inc.		
1	423.	WO 02/38031A2	05-16-2002	NeuroPace, Inc.		Г
	424.	WO 02/38217A2	05-16-2002	NeuroPace, Inc		Γ
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1	427.	WO 03/030734A2	04-17-2003	NeuroPace, Inc.		
$\Box$	428.	WO 03/035165A1	. 05-01-2003	Duke University	•	T
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	430.	WO 04/008373A2	01-22-2004	UT-Battelle, LLC	-	Π
	431.	WO 04/032720A2	04-22-2004	Flint Hills Scientific, LLC		Γ
	432.	WO 04/034231A2	04-22-2004	Flint Hills Scientific, LLC		
	433.	WO 04/034879A2	04-29-2004	Medtronic Inc.		
	434.	WO 04/034880A2	04-29-2004	Medtronic Inc.		T
	435.	WO 04/034881A2	04-29-2004	Medtronic Inc.		T
1	436.	WO 04/034882A2	04-29-2004	Medtronic Inc.	-	T
•	437.	WO 04/034883A2	04-29-2004	Medtronic Inc.		T
	438.	WO 04/034885A2	04-29-2004	Medtronic Inc.		T
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	440.	WO 04/034997A2	04-29-2004	Rise et al.		
$\top$	441.	WO 04/034998A2	04-29-2004	Medtronic Inc.		T
	442.	WO 04/035130A2 (in French with English Abstract)	04-29-2004	le van Quyen	·	
	443.	WO 04/036370A2	04-29-2004	Medtronic Inc.		Γ
$\perp$	444.	WO 04/036372A2 .	04-29-2004	Medtronic Inc.		1
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Substitute f	for form 144	9/PTO		Application Number	10/753,205			
INFORM	MATION	DISCI	OSURE	Filing Date	January 6, 2004			
STATE	MENT BY	APPI	<b>JCANT</b>	First Named Inventor	Daniel John DiLorenzo			
(Use a	s many shee	ts as nec	essary)	Art Unit	3762			
				Examiner Name	Scott M. Getzow			
Sheet	19	Of	38	Attorney Docket Number	31685-704.503			

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5 G	446.	WO 04/036377A2	04-29-2004	Medtronic Inc.		
N.	447.	WO 04/037342A1	05-06-2004	Luders, Jurgen .		Г
	448.	WO 04/043536A1	05-27-2004	NeuroPace, Inc.		Г
	449.	WO 04/091718A1	10-28-2004	Luders, Jurgen		Г
	450.	WO 05/007236A2	01-27-2005	Advanced Neuromodulation Systems, Inc.		r
	451.	WO 05/028026A1	03-31-2005	Medtronic Inc.		Г
	452	WO 05/028028A1 ·	03-31-2005	Medtronic Inc.		
	453.	WO 05/031630A2	04-07-2005	UT-Battelle, LLC		Г
	454.	WO 05/051167A1	06-09-2005	Cyberkinetics, Inc.		Γ
	455.	WO 05/051306A2	06-09-2005	Dilorenzo		Г
	456.	WO 05/117693A1	12-15-2005	Children's Medical Center Corporation		Ī
	457.	WO 06/0014971A2	02-09-2006	Armstrong et al.		Г
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	459.	WO 85/01213A1	03-28-1985	Zabara, Jacob		Г
	460.	WO 92/00119A1 (in French with English Abstract)	01-09-1992	Verhoeven, Jean-Marie		Γ
	461.	WO 97/26823A1	07-31-1997	University of Kansas		Γ
	462.	WO 97/34522A1	09-25-1997	Lockheed Martin		Γ
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	466.	WO 97/42990A1	11-20-1997	Ward, Scott		Г
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J	468.	WO 98/49935A1	11-12-1998	Lockheed Martin		厂
Al	469.	WO 99/56821A1	11-11-1999	Rise, Mark	-	Г

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/753,205	
			LOSURE	Filing Date	January 6, 2004	
				First Named Inventor	Daniel John DiLorenzo	
(Use a	s many shee	tš as ned	essary)	Art Unit	3762	
			•	Examiner Name	Scott M. Getzow	
Sheet	20	Of	38	Attorney Docket Number	31685-704.503	

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code' - Number' - Kind Code' (If Incom)	Publication Date MM-DD-YYYY	Name of Patentee or - Applicant of Cited Document	Pages, Cohumns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>¢</sup>			
36	470.	WO 99/56822A1	11-11-1999	Adkins, Alan					
26	471.	WO 99/20342A1	04-29-1999	Cornell Res Foundation, Inc.					

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				First Named Inventor	Daniel John DiLorenzo	
(Use a	s many shee	ts as nec	essary)	Art Unit	3762	
	*			Examiner Name	Scott M. Getzow	
Sheet	21	Of	38	Attorney Docket Number	31685-704.503	

		NON PATENT LITERATURE DOCUMENTS	
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INFORMATION DISCLOSURE				Filing Date	January 6, 2004	
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(Use a	s many sheet	is as nec	essary)	Art Unit	3762	
				Examiner Name	Scott M. Getzow	
Sheet .	22	Of	38	Attorney Docket Number	31685-704.503	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Cite No. 482.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
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INFORM				Filing Date	January 6, 2004	
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				Examiner Name	Scott M. Getzow	
Sheet	23	Of	38	Attorney Docket Number	31685-704.503	

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Sheet 24 Of 38				Attorney Docket Number	31685-704.503

		NON PATENT LITERATURE DOCUMENTS	• .			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number publisher, city and/or country where published.				
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Substitute	for form 144	9/PTO	•	Application Number	10/753,205
INFORM	MATION	DISC	LOSURE	Filing Date	January 6, 2004
	STATEMENT BY APPLICANT			First Named Inventor	Daniel John DiLorenzo
(Use a	s many shee	ts as nec	essary)	Art Unit	3762
				Examiner Name	Scott M. Getzow
Sheet	25	Of	38	Attorney Docket Number	31685-704.503

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INFORMATION DISCLOSURE				Filing Date	January 6, 2004		
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(Use as	many shee	is as neces	sary)	Art Unit	3762		
				Examiner Name	Scott M. Getzow		
Sheet	27	Of	38	Attorney Docket Number	31685-704.503		

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			OSURE	Filing Date	January 6, 2004
	STATEMENT BY APPLICANT		First Named Inventor	Daniel John DiLorenzo	
(Use a	s many shee	ts as nece	ssary)	Art Unit	3762
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INFORMATION DISCLOSURE			OSURE	Filing Date	January 6, 2004	
	STATEMENT BY APPLICANT			First Named Inventor	Daniel John DiLorenzo	
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				Examiner Name	Scott M. Getzow	
Sheet	31	Of	38	Attorney Docket Number	31685-704.503	

Examiner Cite No. 1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	7
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Substitute for form 1449/PTO				Application Number	10/753,205				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			OSURE	Filing Date	January 6, 2004				
				First Named Inventor	Daniel John DiLorenzo				
(Use as many sheets as necessary)		Art Unit	3762						
				Examiner Name	Scott M. Getzow				
Sheet	37	Of	38	Attorney Docket Number	31685-704.503				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Te.
56	647.	WIDMAN, et al. Reduced signal complexity of intracellular recordings: a precursor for epileptiform activity? Brain Res. 1999; 836(1-2):156-63.	
	648.	WINTERHALDER, et al. Sensitivity and specificity of coherence and phase synchronization analysis. (In Press) Phys. Lett. A. 2006	
	649.	WINTERHALDER, et al. The seizure prediction characteristic: a general framework to assess and compare seizure prediction methods. Epilepsy Behav. 2003; 4(3):318-25.	
	650.	YANG, et al. A supervised feature subset selection technique for multivariate time series. Available at http://mfolab.usc.edu/DocsDemos/fsdm05.pdf. Accessed March 2, 2006.	
$T^{-}$	651.	YANG, et al. CLe Ver: A feature subset selection technique for multivariate time series. T. B. Ho, D. Cheung, and H. Liu (Eds.): PAKDD. 2005; LNAI 3518: 516-522.	
	652.	YANG, et al. Relation between Responsiveness to Neurotransmitters and Complexity of Epileptiform Activity in Rat Hippocampal CA1 Neurons. Epilepsia. 2002; 43(11):1330-1336.	,
	653.	YATSENKO, et al. Geometric Models, Fiber Bundles, and Biomedical Applications. Proceedings of Institute of Mathematics of NAS of Ukraine. 2004; 50 (Part 3):1518–1525.	
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V	655.	ZHANG, et al. High-resolution EEG: cortical potential imaging of interictal spikes. Clin. Neurophysiol. 2003; 114(10):1963-73.	

		UNPUBLIS	SHED PATENT APPLICATIO	DNS	
Examiner Initials*	Cite No.1	item (book, magazine, journa	in CAPITAL LETTERS), title of the a al, serial, symposium, catalog, etc.), da publisher, city and/or country where pu	ate, page(s), volume-issue number(s),	T*
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			•	Complete if Known				
Substitute f	or form 144	9/PTO		Application Number	10/753,205			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			OSURE	Filing Date	January 6, 2004			
			_	First Named Inventor	Daniel John DiLorenzo			
(Use as many sheets as necessary)		Art Unit	3762					
				Examiner Name	Scott M. Getzow			
Sheet	38	Of	38	Attorney Docket Number	31685-704.503			

	,	UNPUBLISHED PATENT APPLICATIONS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
56	656.	Daniel John Dilorenzo, U.S. Patent Application No. 10/858,899, entitled "Closed-loop feedback-driven neuromodulation," filed June 1, 2004 (WSGR Reference No. 31685-704.505)	
1	657.	Daniel John Dilorenzo, et al., U.S. Patent Application No. 11/159,842, entitled "Closed-loop feedback-driven neuromodulation," filed June 22, 2005 (WSGR Reference No. 31685-704.508)	
·	658.	Daniel J. Dilorenzo, U.S. Patent Application No. 11/234,873, entitled "Systems and methods for monitoring a patient's neurological disease state," filed September 23, 2005 (WSGR Reference No. 31685-704.509)	
	659.	Daniel J. Dilorenzo, U.S. Patent Application No. 11/239,653, entitled "Systems and methods for monitoring a patien's neurological disease state," filed September 28, 2005 (WSGR Reference No. 31685-704.510)	
-	660.	Daniel John Dilorenzo, U.S. Patent Application No. 11/282,317 entitled "Closed-loop vagus nerve stimulation," filed November 17, 2005 (WSGR Reference No. 31685-704.511)	
	661.	Kent W. Leyde, et al., U.S. Patent Application No. 11/321,897, entitled "Methods and systems for recommending an appropriate action to a patient for managing epilepsy and other neurological disorders," filed December 28, 2005 (WSGR Reference No. 31685-713.201)	
	662.	Daniel J. Dilorenzo, et al., U.S. Patent Application No. 11/321,989, entitled "Methods and systems for recommending an appropriate pharmacological treatment to a patient for managing epilepsy and other neurological disorders," filed December 28, 2005 (WSGR Reference No. 31685-713.202)	
V	663.	Mike Bland, et al., U.S. Patent Application No. 11/322,150, entitled "Systems and methods for characterizing a patient's propensity for a neurological event and for communicating with a pharmacological agent dispenser," filed December 28, 2005 (WSGR Reference No. 31685-713.203)	

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